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| Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | Complete if Known | | |
| | | | Application Number | 09/284,683 | |
| | | | Filing Date | 06/24/1999 | |
| | | | First Named Inventor | Gregor Cevc | |
| | | | Art Unit | 1612 | |
| Examiner Name | G. Kishore | | | | |
| Sheet | 1 | Of | 2 | Attorney Docket Number | 35946-701.831 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | 1. | 7,473,432 | 01-06-2009 | Cevc et al. | |
| | 2. | 7,459,171 | 12-02-2008 | Cevc et al. | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-----------------------|--|--------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code ² - Number ² - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁴ |
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| NON PATENT LITERATURE DOCUMENTS | | |
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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| | 3. | Declaration of Gregor CEVC under 37 C.F.R. § 1.132, filed on October 20, 2006 in U.S. Patent Application 10/357,617, now U.S. Patent No. 7,473,432, assigned to IDEA AG, which was examined at the USPTO by Examiner Kishore |
| | 4. | Supplemental Declaration of Gregor CEVC under 37 C.F.R. § 1.132, filed on July 18, 2007, in U.S. Patent Application 10/357,617, now U.S. Patent No. 7,473,432, assigned to IDEA AG, which was examined at the USPTO by Examiner Kishore |
| | 5. | Second Supplemental Declaration of Gregor CEVC under 37 C.F.R. § 1.132, filed on March 13, 2008 in U.S. Patent Application 10/357,617, now U.S. Patent No. 7,473,432, assigned to IDEA AG, which was examined at the USPTO by Examiner Kishore |
| | 6. | Third Supplemental Declaration of Gregor CEVC under 37 C.F.R. § 1.132, filed on April 18, 2008 in U.S. Patent Application 10/357,617, now U.S. Patent No. 7,473,432, assigned to IDEA AG, which was examined at the USPTO by Examiner Kishore |

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| Examiner Signature | /Gollamudi Kishore/ | Date Considered | 11/12/2009 |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. ⁶Applicant is to place a check mark here if English language translation is attached.

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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
| | 7. | Declaration of Gregor CEVC under 37 C.F.R. §1.132, filed on January 15, 2009 in co-pending U.S. Patent Application 12/244,703, assigned to IDEAA AG, awaiting examination at the USPTO |
| | 8. | U.S. Application No. 12/244,703, entitled "NSAID Formulations, Based On Highly Adaptable Aggregates, For Improved Transport Through Barriers And Topical Drug Delivery", and Preliminary Amendment filed on October 2, 2008 |
| | 9. | U.S. Application No. 12/250,823, entitled "NSAID Formulations, Based On Highly Adaptable Aggregates, For Improved Transport Through Barriers And Topical Drug Delivery", and Preliminary Amendment filed on October 14, 2008 |
| | 10. | U.S. Application No. 12/250,980, entitled "NSAID Formulations, Based On Highly Adaptable Aggregates, For Improved Transport Through Barriers And Topical Drug Delivery", and Preliminary Amendment filed on October 14, 2008 |
| | 11. | U.S. Application No. 12/356,381, entitled "Aggregates With Increased Deformability, Comprising At Least Three Amphipats, For Improved Transport Through Semi-Permeable Barriers And For The Non-Invasive Drug Application In Vivo, Especially Through The Skin", and Preliminary Amendment filed on January 20, 2009 |

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| Examiner Signature | /Gollamudi Kishore/ | Date Considered | 11/12/2009 |
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